



Incremental Tree Substitution Grammar for Parsing and Sentence Prediction

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Introduction

Incrementality and Sentence Prediction

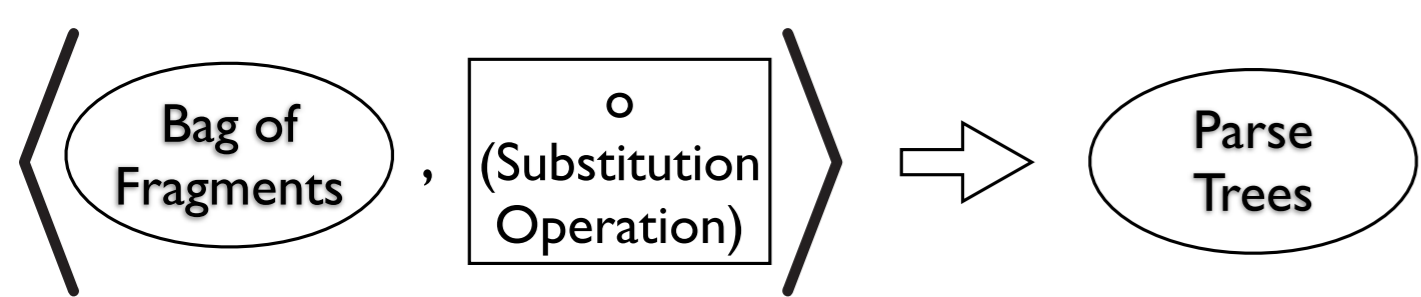
- ▶ Human sentence processing is incremental (Demberg and Keller, 2008).
- ▶ Predicts upcoming words (Grabski and Scheffer, 2004).
- ▶ Assumes strongly lexicalized parsing model.

Incremental TSG Model (ITSG)

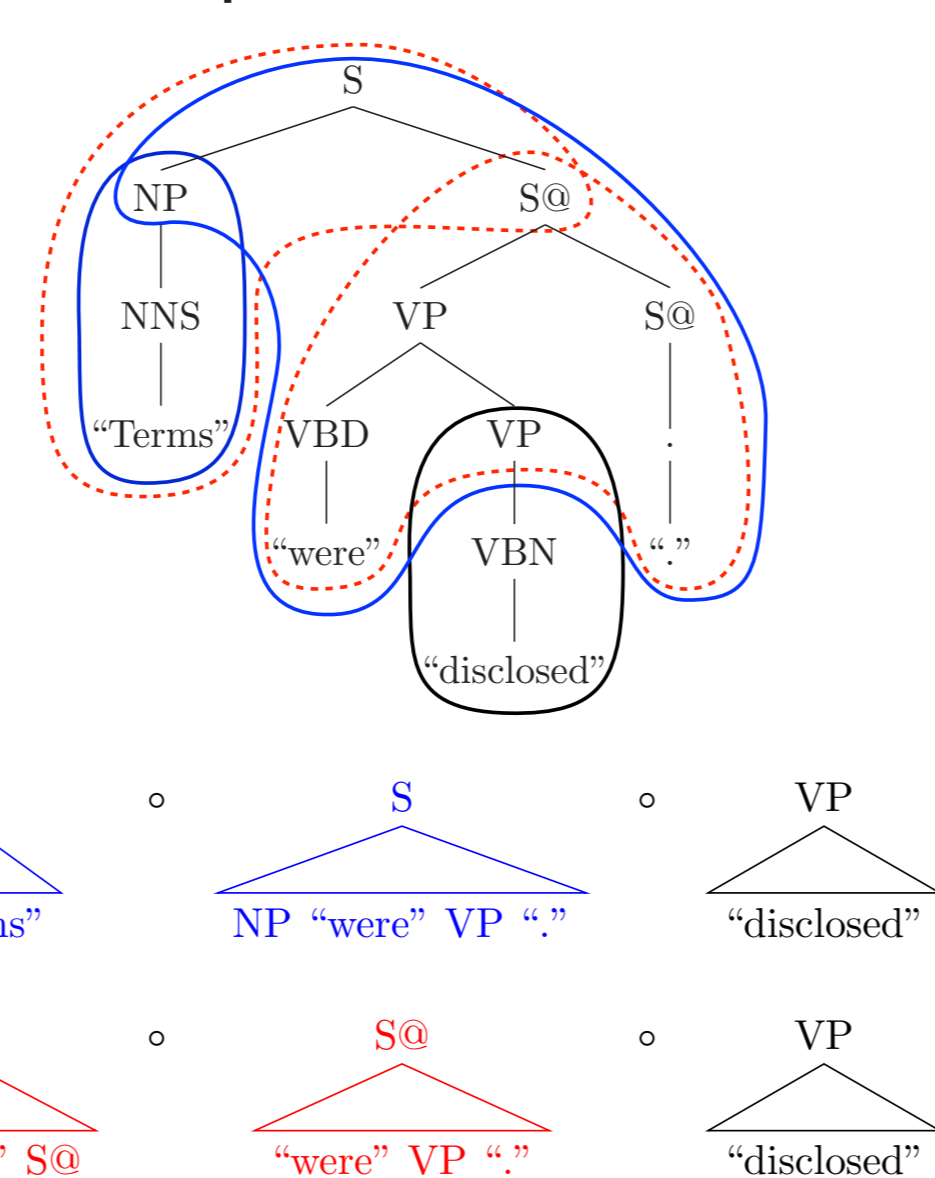
Incremental TSG Generative Process

- ▶ Arbitrarily large fragments as in TAG/TSG (Schabes, 1990; Bod et al., 2003).
- ▶ Fully connected incremental structure.
- ▶ Left-Right generative process (each step must extend the prefix).
- ▶ More constraints on the fragments (lexical anchor in first or second position).

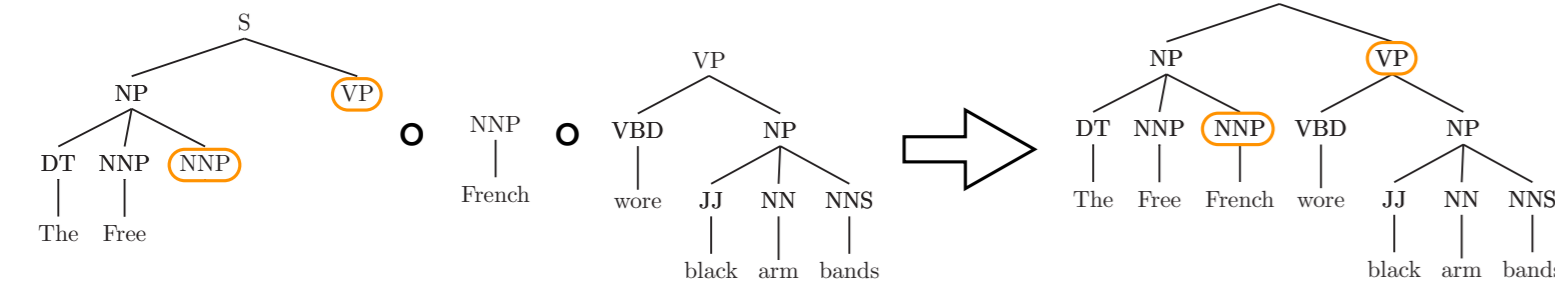
Incremental TSG Derivations



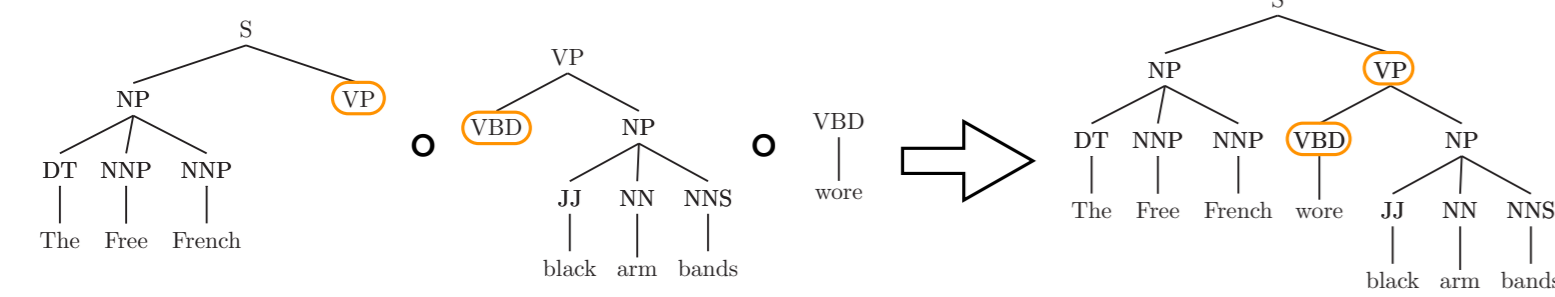
Multiple Derivations



Incremental Derivation



Non-Incremental Derivation

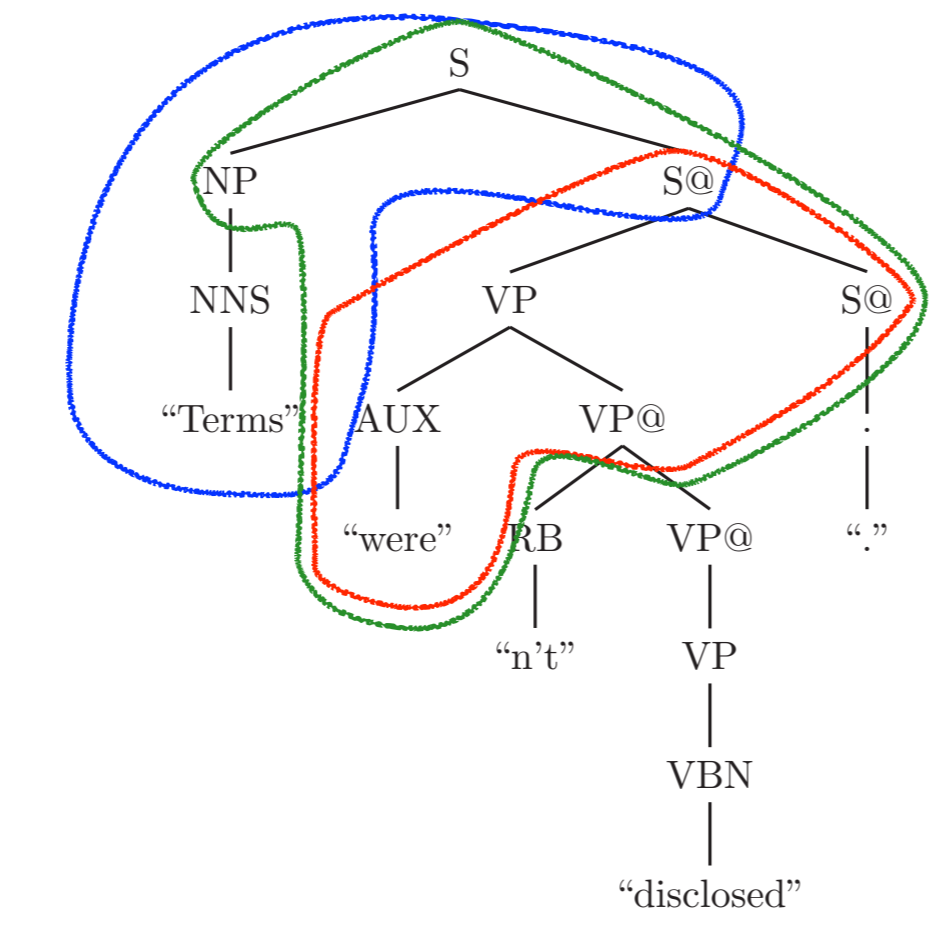


Fragment Types

3 fragment types:

- ▶ **Initial**: lexical anchor in the first position (sentence initial).
- ▶ **Lex-First**: lexical anchor in first position (non sentence-initial).
- ▶ **Sub-First**: lexical anchor in second position, and a substitution site in first.

Type	Fragment	Horizontal Notation
Initial		$S \triangleleft \text{"Terms"} S@$
Lex-First		$S \triangleleft \text{"were"} VP@ S@$
Sub-First		$S \triangleleft \text{"were"} VP@ S@$



Combining Operations

4 operations (+ START, STOP)

- ▶ Scan
- ▶ Backward Substitution
- ▶ Forward Substitution
- ▶ Complete

Partial Structure	Operation	Accepted Fragment	Resulting Structure	Terminated
	⊖ (backward)			NO
	⊕ (forward)			NO
	⊙ (stop)			YES

Probabilistic Chart Parser

Earley based (Earley, 1970; Stolcke, 1995). No cycles.

Prob. State $i : kX \triangleleft \lambda \bullet \mu \quad [\alpha, \gamma, \beta, \leftarrow]$

- α : forward probability $P(\ell_0^{i-1}, i : kX \triangleleft \lambda \bullet \mu)$
- γ : inner probability $P(\ell_k^{i-1}, i : kX \triangleleft \lambda \bullet \mu)$
- β : outer probability $P(\ell_0^{i-1}, \ell_i^N, i : kX \triangleleft \lambda \bullet \mu)$
- \leftarrow : viterbi best previous state

Chart Algorithm

Start:	Operation	Accepted Fragment	Resulting Structure	Terminated
Propagating forwards (α) and inners (γ)	$X \triangleleft \ell_{i-1}$	$\alpha = P_{sub}(X \triangleleft \ell_{i-1})$ $\gamma = P_{sub}(X \triangleleft \ell_{i-1})$ $\beta = \beta(\ell_{i-1}, X \triangleleft \ell_{i-1})$		
Scan:	$i : kX \triangleleft \lambda \bullet \mu \quad [\alpha, \gamma, \beta]$	$\alpha' = \alpha$ $\gamma' = \gamma$ $\beta' = \beta$		
Backward Substitution:	$i : kX \triangleleft \lambda \bullet \mu \quad [\alpha, \gamma, \beta]$ $i : Y \triangleleft \ell_{i-1} \bullet \mu \quad [\alpha', \gamma', \beta']$	$\alpha' = \alpha \cdot P_{sub}(Y \triangleleft \ell_{i-1})$ $\gamma' = P_{sub}(Y \triangleleft \ell_{i-1})$ β not updated (done with back-completion)		
Forward Substitution:	$i : Y \triangleleft \ell_{i-1} \bullet \mu \quad [\alpha, \gamma, \beta]$ $i : kX \triangleleft \lambda \bullet Y \mu \quad [\alpha', \gamma', \beta']$	$\alpha' = \alpha \cdot P_{sub}(X \triangleleft Y \mu)$ $\gamma' = \gamma \cdot P_{sub}(X \triangleleft Y \mu)$ $\beta = \beta' \cdot P_{sub}(X \triangleleft Y \mu)$		
Completion:	$i : Y \triangleleft \ell_{i-1} \bullet \mu \quad [\alpha, \gamma, \beta]$ $j : kX \triangleleft \lambda \bullet Y \mu \quad [\alpha', \gamma', \beta']$	$\alpha'' = \alpha' \cdot \gamma$ $\gamma'' = \gamma' \cdot \gamma$ $\beta'' = \beta' \cdot \gamma$		
Stop:	$N : \alpha Y \triangleleft \nu \bullet \mu \quad [\alpha, \gamma, \beta]$ TERMINATE ($Y \triangleleft \nu$) $[\alpha', \gamma', \beta']$	$\alpha'' = \alpha' \cdot \beta$ $\beta = P_{sub}(STOP)$		

Frag. Type	Fragment	Symbol	Probability
Initial		π_{init}	$\frac{f(\pi_{init})}{\sum \pi_{init} f(\pi_{init})}$
Lex-First		$\pi_{lex(X)}$	$\frac{f(\pi_{lex(X)})}{\sum \pi_{lex(X)} f(\pi_{lex(X)})}$
Sub-First		$\pi_{sub(Y)}$	$\frac{f(\pi_{sub(Y)})}{\sum \pi_{sub(Y)} f(\pi_{sub(Y)})}$

Experimental Setup

Corpus Setup

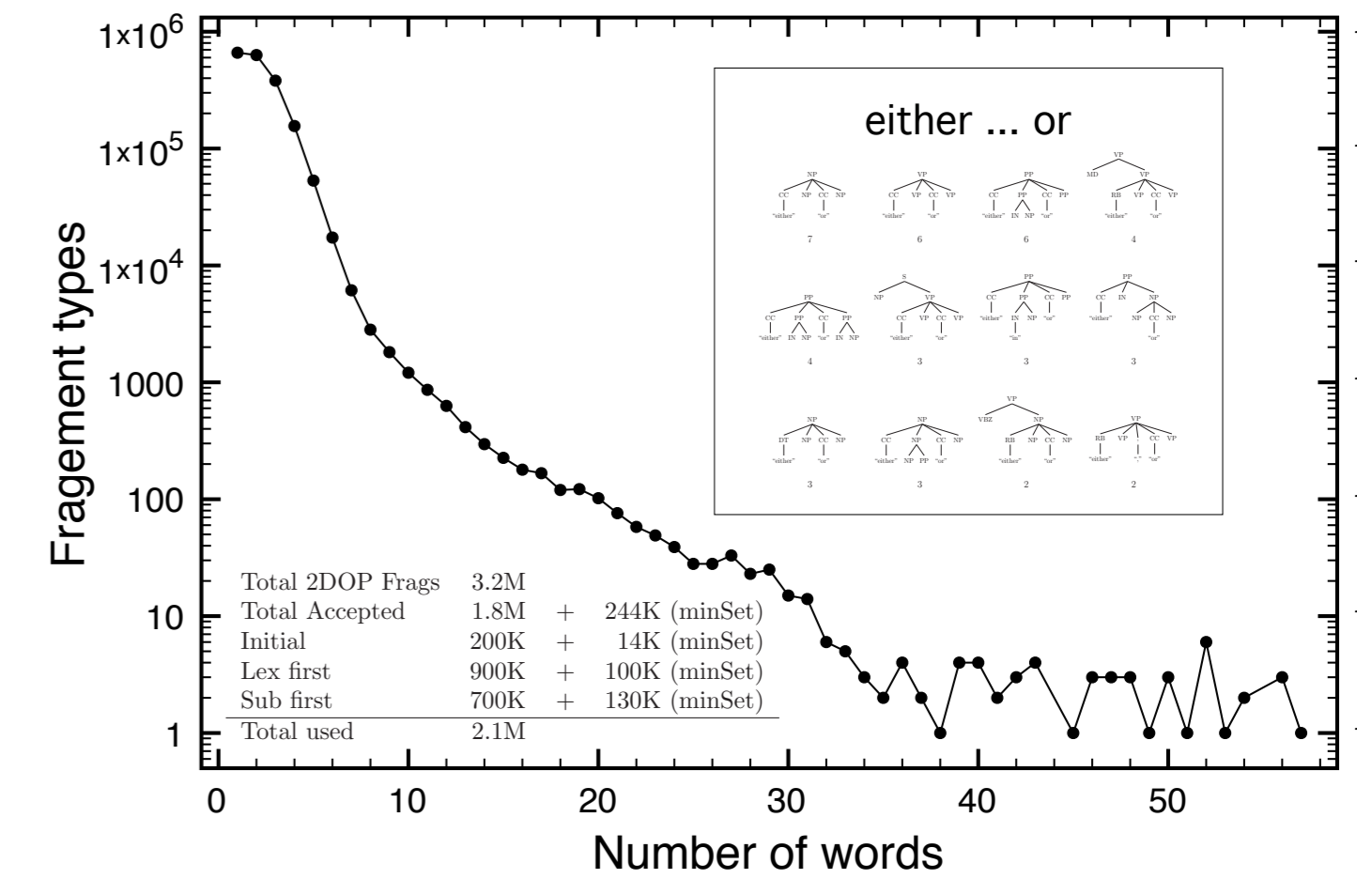
- ▶ Penn WSJ Treebank (Marcus et al., 1993).
- ▶ Removing traces and functional tags.
- ▶ Apply right binarization (Klein and Manning, 2003), with no horizontal and vertical conditioning (H0V1).
- ▶ Replace words appearing < 5 times in the train with lexical features (Petrov, 2009).

Grammar Extraction

- ▶ Use *FragmentSeeker* (Sangati et al., 2010).
- ▶ Remove all non valid frags (e.g., no lexical items).
- ▶ Add all one-word fragments (minSet).
- ▶ Count frag frequency in the training corpus.

Parsing

- ▶ MPD: Maximum Probable (partial) Derivation.
- ▶ MPP: Maximum Probable Parse (approximated).
- ▶ MRP: Minimum Risk Parse (Goodman, 1996).



Evaluation

Metrics

- ▶ Standard Parsing Evaluation (full sentences).
- ▶ Incremental Parsing Evaluation: for each prefix of the input sentence we compute the parsing accuracy on the minimal structure spanning that prefix.
- ▶ Sentence Prediction (for every prefix).
 - ▶ Word prediction PRD(m): whether the m predicted words are correct.
 - ▶ Word presence PRS(m): whether the m predicted words are present in the same order.
 - ▶ Longest common subsequence LCS: computes the LCS between the sequence of predicted words and the words following the prefix in the original sentence.

Other Models

- ▶ Demberg et al. (2014) [Standard Parsing]
- ▶ Schuler et al. (2010) [Standard Parsing]
- ▶ Roark (2001); Roark et al. (2009) [Standard Parsing, Incremental Parsing]
- ▶ 3-gram model using SRILM (Stolcke, 2002) [Sentence Prediction]

Results

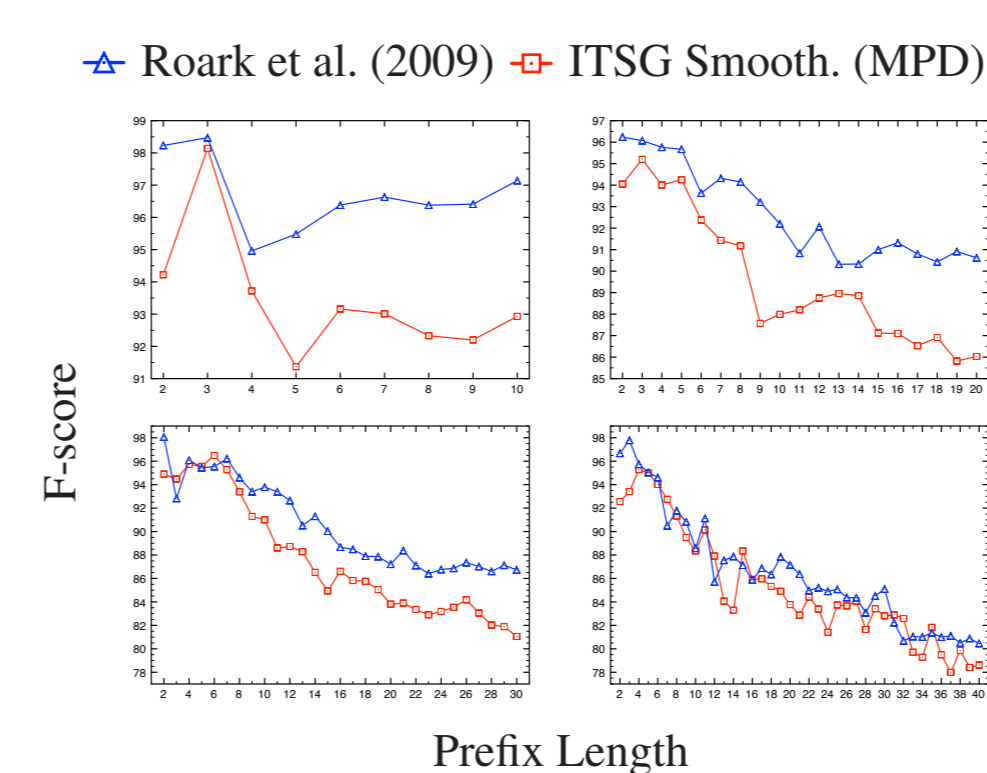
Standard Parsing

	R	P	F1
Demberg et al. (2014)	79.4	79.4	79.4
Schuler et al. (2010)	83.4	83.7	83.5
Roark (2001)	86.6	86.5	86.5
Roark et al. (2009)	87.7	87.5	87.6
ITSG (MPD)	81.5	83.5	82.5
ITSG (MPP)	81.6	83.6	82.6
ITSG (MRP)	82.6	85.8	84.1
ITSG Smoothing (MPD)	83.0	83.5	83.2
ITSG Smoothing (MPP)	83.2	83.6	83.4
ITSG Smoothing (MRP)	83.9	85.6	84.8

Sentence Prediction

	ITSG			3-gram LM (SRILM)		
	Correct	R	P	Correct	R	P
PRD(1)	4,637	8.7	12.5	11,430	21.5	21.6
PRD(2)	864	1.7	13.9	2,686	5.3	5.7
PRD(3)	414	0.9	20.9	911	1.9	2.1
PRD(4)	236	0.5	23.4	387	0.8	1.0
PRS(1)	34,831	65.4	93.9	21,954	41.2	41.5
PRS(2)	4,062	8.0	65.3	5,726	11.3	12.2
PRS(3)	1,066	2.2	53.7	1,636	3.4	3.8
PRS(4)	541	1.2	53.7	654	1.4	1.7
LCS	44,454	5.9	89.4	92,587	12.2	18.4

Incremental Parsing



Prefix	Shares of UAL, the parent	PRD(3)	PRS(3)
ITSG	company of United Airlines,	-	-
SRILM	company, which is the	-	-
Goldstd	of United Airlines, were extremely active all day Friday.	-	-
Prefix	PSE said it expects to report earnings of \$ 1.3 million to \$ 1.7 million, or 14		
ITSG	cents a share,	-	+
SRILM	% to \$ UNK	-	-
Goldstd	cents to 18 cents a share.	-	-

Conclusions

- ▶ First incremental TSG parser.
- ▶ Competitive results on both full sentence and sentence prefix F-score.
- ▶ Outperforms standard n-gram LM in predicting more than one upcoming word.

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